

PTO/SB/C1 (10-01)

Approved for use through 10/31/2002. OMB 0551-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**



Declaration
Submitted
with Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

SECWR-001A

First Named Inventor

John W. Waguespack

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LOCK SYSTEM FOR SECURING A PRINTER DOOR

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT international

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |



Additional foreign application numbers are listed on a supplemental priority date sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FSES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/01 (10-01)
 Approved for use through 12/31/2002. OMB 0661-0002
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DECLARATION — Utility or Design Patent Application

| | | | | | | | |
|---|--|--------------------------------------|--|---|--|--|--|
| Direct all correspondence to <input checked="" type="checkbox"/> | | Customer Number or Bar Code Label | | 007663 | | OR <input type="checkbox"/> Correspondence address below | |
| Attn: In H. Kim | | | | | | | |
| Name | | | | | | | |
| Address | | | | | | | |
| City | | State | | ZIP | | | |
| Country | | Telephone | | Fax | | | |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | | | | | |
| NAME OF SOLE OR FIRST INVENTOR: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name John W. (first and middle (if any)) | | | | Family Name Waguespack or Surname | | | |
| Inventor's Signature <i>John W. Waguespack</i> | | | | Date 7/31/02 | | | |
| Redondo Beach Residence: City | | CA State | | USA Country | | USA Citizenship | |
| 816 El Redondo Avenue | | | | | | | |
| Mailing Address | | | | | | | |
| Redondo Beach City | | CA State | | 90277 ZIP | | USA Country | |
| NAME OF SECOND INVENTOR: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name Christopher E. (first and middle (if any)) | | | | Family Name Meyer or Surname | | | |
| Inventor's Signature <i>Christopher E. Meyer</i> | | | | Date 7/31/02 | | | |
| Fountain Valley Residence: City | | CA State | | USA Country | | USA Citizenship | |
| 16317 Filbert Street | | | | | | | |
| Mailing Address | | | | | | | |
| Fountain Valley City | | CA State | | 92708 ZIP | | USA Country | |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto. | | | | | | | |

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (11-98)

Approved for use through 8/30/99. OMB 0851-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT,

| | |
|------------------------|--------------------|
| Application Number | Unknown |
| Filing Date | Herewith |
| First Named Inventor | John W. Wagnespach |
| Group Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | SECWR-001A |

I hereby appoint:

☒ Practitioners at Customer Number →
OR
☐ Practitioner(s) named below: Attn: In H. Kim

Place Customer
Number Bar Code
Label here

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.
OR

| | | | | |
|---|--|-------|--|-----|
| <input type="checkbox"/> Firm or Individual Name | | | | |
| Address | | | | |
| Address | | | | |
| City | | State | | ZIP |
| Country | | | | |
| Telephone | | Fax | | |

I am the:

☐ Applicant.

☒ Assignee of record of the entire interest
Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|----------------------|
| Name | Christopher E. Meyer |
| Signature | <i>[Signature]</i> |
| Date | 7/31/02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: John W. Waguespack et al.Application No./Patent No.: Filed herewith Filed/Issue Date: HerewithEntitled: Lock System for Securing a Printer DoorSecurityWorks, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8-6-02
Date

IN H. KIM

Typed or printed name

[Signature]
Signature

Attorney of Record

Title

ASSIGNMENT

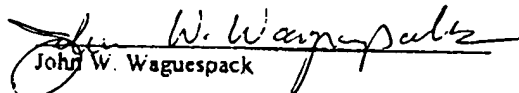
WHEREAS, we, John W. Waguespack, residing at 816 El Redondo Avenue, Redondo Beach, CA 90277 and Christopher E. Meyer, residing at 16317 Filbert Street, Fountain Valley, CA 92708, have invented certain new and useful improvements in a LOCK SYSTEM FOR SECURING A PRINTER DOOR, for which we have executed an application for Letters Patent in the United States concurrently herewith;

AND WHEREAS, SecurityWorks, Inc., a California corporation having a place of business at 18582 Beach Blvd., Suite 201, Huntington Beach, CA 92648 (hereinafter "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the said improvements and the Applications:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the said ASSIGNEE, successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to, and under the said improvements and the said applications and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, successors, legal representatives and assigns, in accordance with the terms of this instrument

AND EACH INVENTOR HEREBY, covenants and agrees to communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify truthfully in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything lawfully possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 31 day of JULY, 2002.


John W. Waguespack

STATE OF CALIFORNIA

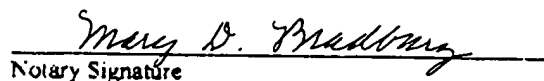
99.

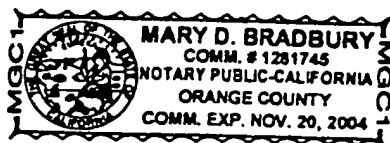
COUNTY OF ORANGE

On 7/31/02, before me, MARY D. BRADBURY ^{NOTARY PUBLIC}, personally appeared John W. Waguespack, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument

WITNESS my hand and official seal.

(SEAL)


Notary Signature



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 31 day of July, 2002.

Christopher E. Meyer

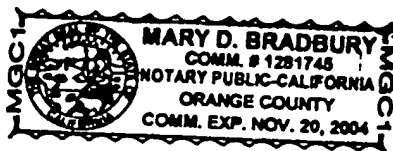
STATE OF CALIFORNIA

COUNTY OF ORANGE

On 7/31/02, before me, MARY D. BRADBURY ^{NOTARY PUBLIC}, personally appeared Christopher E. Meyer, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in authorized capacity(ies), and that by signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Mary D. Bradbury
Notary Signature